



Wrocław  
University  
of Science  
and Technology

# Soil Mechanics

-Lecture II: In-situ stresses in soil.  
Concept of effective stresses.  
Water flow.



HR EXCELLENCE IN RESEARCH



European  
Funds  
Knowledge Education Development

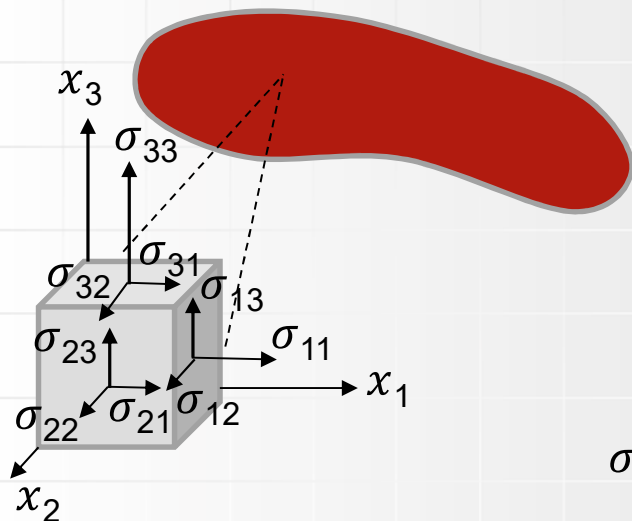


Wrocław University  
of Science and Technology

European Union  
European Social Fund



# Stress tensor



$$\sigma_{ij} = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix}$$

$$I_{\sigma} = \text{tr}(\sigma_{ij}) = \sigma_{11} + \sigma_{22} + \sigma_{33} = \sigma_1 + \sigma_2 + \sigma_3$$

$$II_{\sigma} = \frac{1}{2} [\text{tr}(\sigma_{ij})^2 - \text{tr}(\sigma_{ij}^2)] =$$

$$\sigma_{11}\sigma_{22} + \sigma_{22}\sigma_{33} + \sigma_{11}\sigma_{33} - \sigma_{12}\sigma_{21} - \sigma_{23}\sigma_{32} - \sigma_{13}\sigma_{31} =$$

$$\sigma_1\sigma_2 + \sigma_2\sigma_3 + \sigma_1\sigma_3$$

$$III_{\sigma} = \det(\sigma_{ij}) = \sigma_1\sigma_2\sigma_3$$

$$\det(\sigma_{ij} - \lambda\delta_{ij}) = -\lambda^3 + I_{\sigma}\lambda^2 - II_{\sigma}\lambda + III_{\sigma} = 0$$

$$\sigma'_{ij} = r_i m_{rjn} \sigma_{mn}$$

$$\sigma' = R\sigma R^T$$

$$R_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$$

$$R_2 = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$$

$$R_3 = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

# Concept of effective stress.

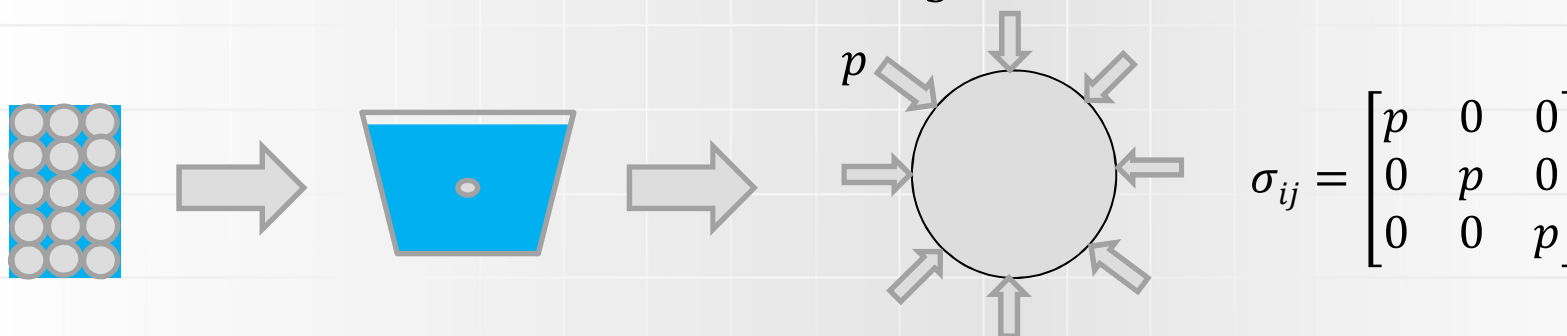
Mean hydrostatic stress tensor  
(volumetric, mean normal)

Stress deviator tensor  $s_{ij}$

$$\sigma_{ij} = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix} = \begin{bmatrix} \sigma_0 & 0 & 0 \\ 0 & \sigma_0 & 0 \\ 0 & 0 & \sigma_0 \end{bmatrix} + \begin{bmatrix} \sigma_{11} - \sigma_0 & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} - \sigma_0 & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} - \sigma_0 \end{bmatrix}$$

$$\sigma_0 = \frac{\text{tr}(\sigma_{ij})}{3} = \frac{\sigma_{kk}}{3} = \frac{\sigma_{11} + \sigma_{22} + \sigma_{33}}{3}$$

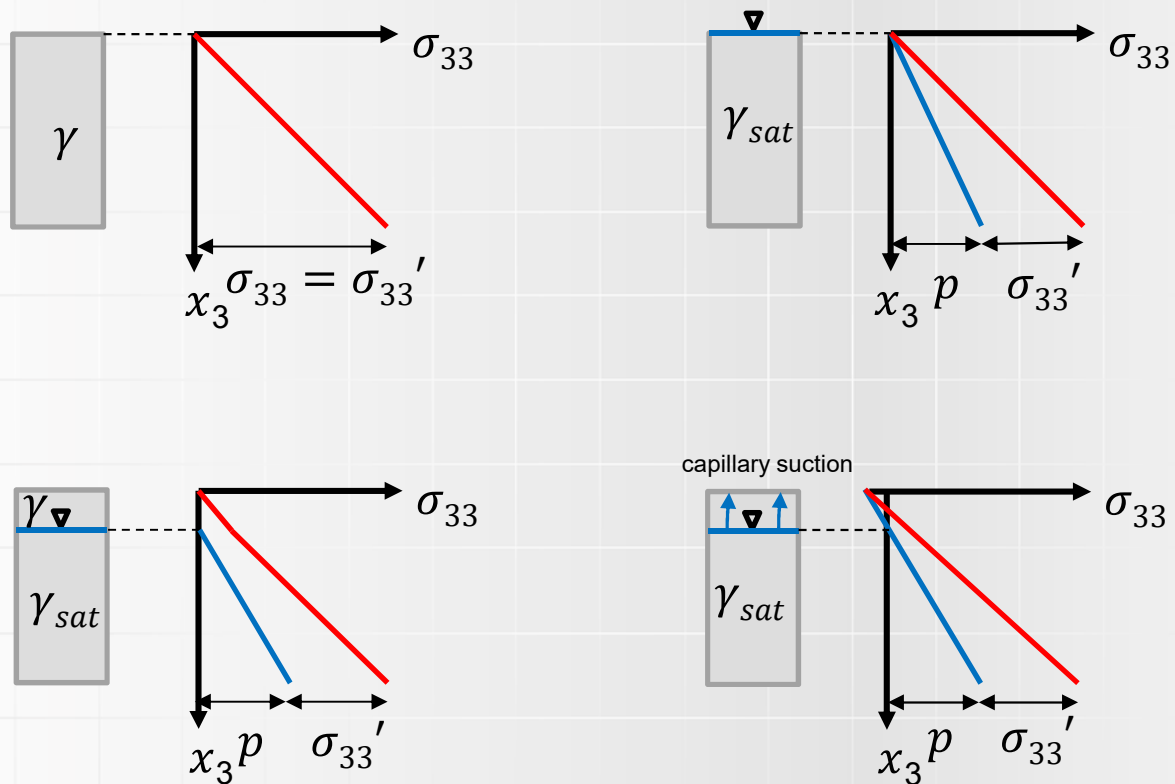
$$s_{ij} = \sigma_{ij} - \frac{\sigma_{kk}}{3} \delta_{ij}$$



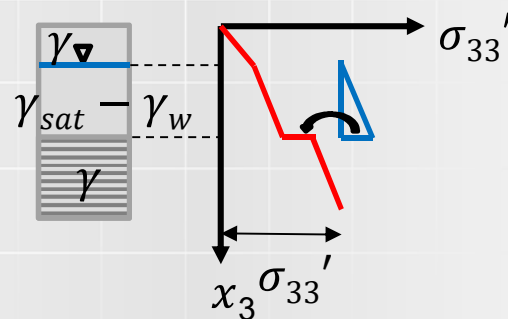
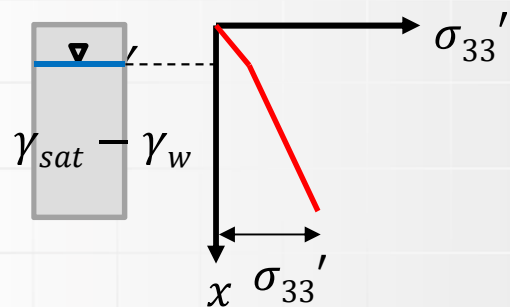
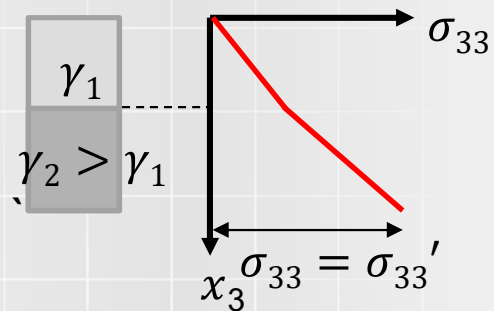
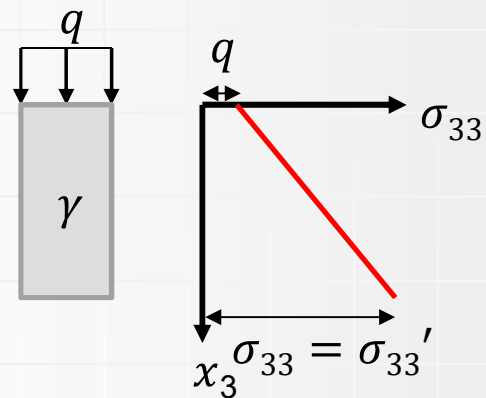
total stresses	stresses in fluid	effective stresses (stresses in soil)	
$\sigma_{ij}' = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix}$	$- \begin{bmatrix} p & 0 & 0 \\ 0 & p & 0 \\ 0 & 0 & p \end{bmatrix}$	$= \begin{bmatrix} \sigma_{11} - \sigma_0 & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} - \sigma_0 & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} - \sigma_0 \end{bmatrix}$	$p \neq \sigma_0$

In soil mechanics internal relations are often expressed in effective stresses

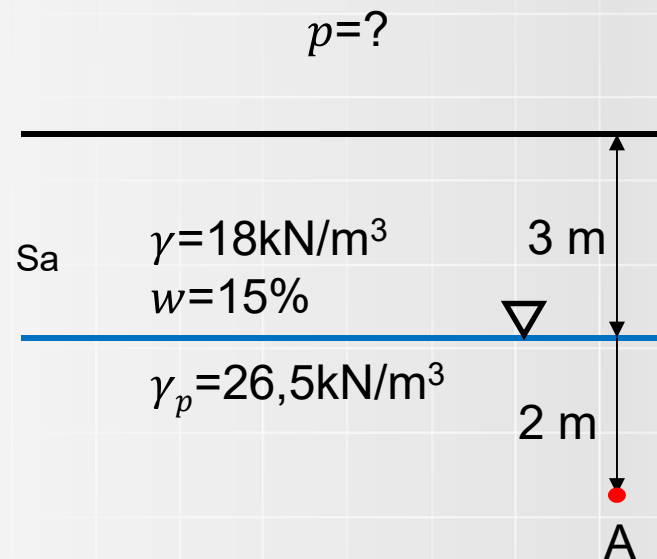
# Normal vertical stress in a soil layer



# Normal vertical stress in set of soil layers

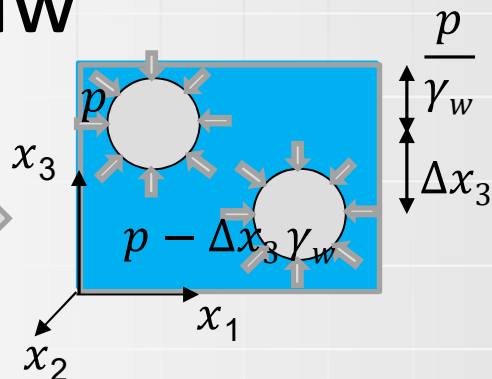
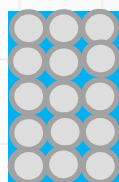


# Questions



- A rubber balloon is filled with dry sand. The pressure in the pores is reduced by 5 kPa with the aid of a vacuum pump. What is the change of the total stress, and the change of the effective stress?
- Horizontal effective and total stresses knowing  $K_0$

# Darcy's Law



## Static state

$$\begin{aligned} \frac{\partial p}{\partial x_1} &= 0 \\ \frac{\partial p}{\partial x_2} &= 0 \\ \frac{\partial p}{\partial x_3} + \gamma_w &= 0 \end{aligned} \Rightarrow p = -\gamma_w x_3 + C$$

( $C = 0$ )

## Dynamic state

$$\begin{aligned} \frac{\partial p}{\partial x_1} - f_1 &= 0 \\ \frac{\partial p}{\partial x_2} - f_2 &= 0 \\ \frac{\partial p}{\partial x_3} + \gamma_w - f_3 &= 0 \end{aligned}$$

## Friction force

$$\begin{aligned} f_1 &= -\frac{\mu}{\kappa} q_1 \\ f_2 &= -\frac{\mu}{\kappa} q_2 \\ f_3 &= -\frac{\mu}{\kappa} q_3 \end{aligned}$$

$\mu$ -viscosity

$\kappa$ -intrinsic permeability

$q$ -specific discharge ( $\text{m}^3/\text{s}/\text{m}^2$ )

## Darcy's Law

$$\begin{aligned} q_1 &= -\frac{\kappa}{\mu} \frac{\partial p}{\partial x_1} \\ q_2 &= -\frac{\kappa}{\mu} \frac{\partial p}{\partial x_2} \\ q_3 &= -\frac{\kappa}{\mu} \left( \frac{\partial p}{\partial x_3} + \gamma_w \right) \end{aligned}$$

## Groundwater head

$$\begin{aligned} h &= x_3 + \frac{p}{\gamma_w} \\ \frac{\partial h}{\partial x_1} &= \frac{1}{\gamma_w} \frac{\partial p}{\partial x_1} \\ \frac{\partial h}{\partial x_2} &= \frac{1}{\gamma_w} \frac{\partial p}{\partial x_2} \\ \frac{\partial h}{\partial x_3} &= \frac{1}{\gamma_w} \left( \frac{\partial p}{\partial x_3} + \gamma_w \right) \end{aligned}$$

$$q = -k \frac{\partial h}{\partial s} = -k i$$

$i$ -hydraulic  
gradient

$k = \frac{\kappa \gamma_w}{\mu}$   $k$ -coefficient of permeability

# Seepage force

## Friction force

$$f_1 = -\frac{\mu}{\kappa} q_1$$

$$f_2 = -\frac{\mu}{\kappa} q_2$$

$$f_3 = -\frac{\mu}{\kappa} q_3$$

## Darcy's Law

$$q_1 = -k \frac{\partial h}{\partial x_1}$$

$$q_2 = -k \frac{\partial p}{\partial x_2}$$

$$q_3 = -k \frac{\partial p}{\partial x_3}$$

$$f_1 = \gamma_w \frac{\partial h}{\partial x_1}$$

$$f_2 = \gamma_w \frac{\partial h}{\partial x_2}$$

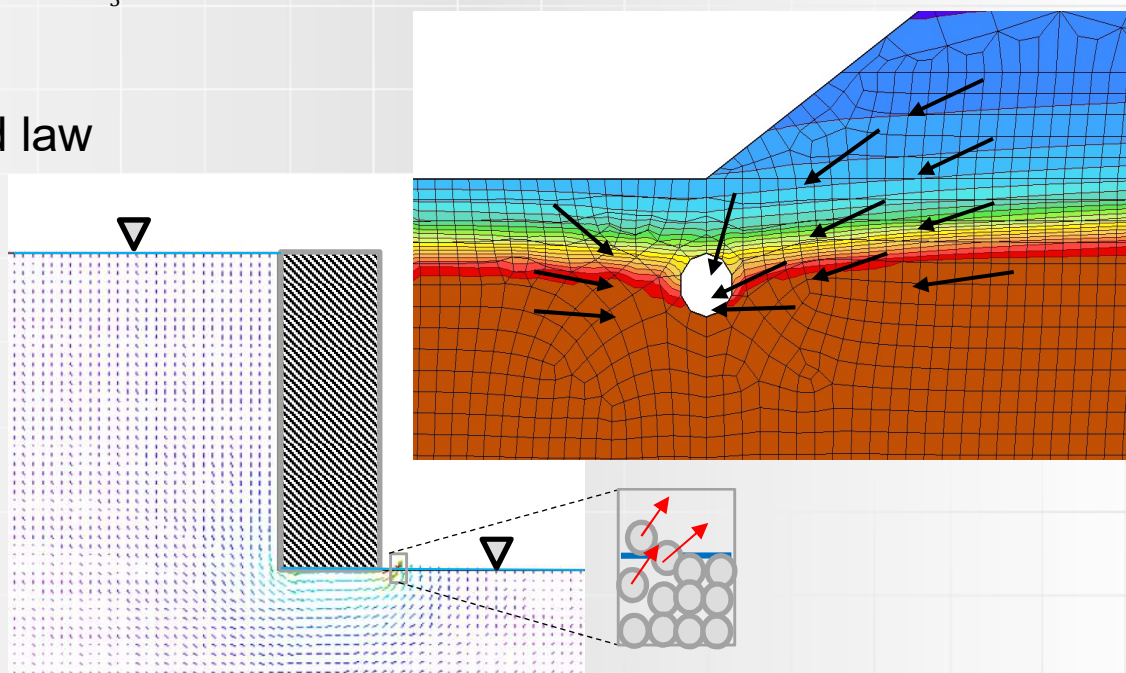
$$f_3 = \gamma_w \frac{\partial h}{\partial x_3}$$

## According to Newton third law

$$j_1 = -\gamma_w \frac{\partial h}{\partial x_1}$$

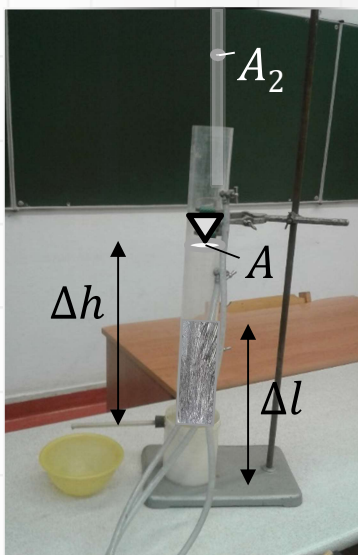
$$j_2 = -\gamma_w \frac{\partial h}{\partial x_2}$$

$$j_3 = -\gamma_w \frac{\partial h}{\partial x_3}$$





# Permeability test



## Constant head

$$q = -ki = -k \frac{\partial h}{\partial s} = -k \frac{\Delta h}{\Delta l}$$

$$Q = q \frac{A}{t} = -k \frac{A \Delta h}{t \Delta l}$$

$$\frac{\kappa \gamma_w}{\mu} = |k| = \frac{Q t \Delta l}{A \Delta h} = \frac{Q t}{i A}$$

$q$ -specific discharge [(m<sup>3</sup>/s)/m<sup>2</sup>]

$Q$ -total volume(!) of water

$k$ -coefficient of permeability

## Falling head

$$Q = -k \frac{A \Delta h}{t \Delta l}$$

$$Q = A_2 (\Delta h_1 - \Delta h_2)$$

$$\frac{\partial Q}{\partial t} = A_2 \frac{\partial h}{\partial t}$$

$$A_2 \frac{\partial h}{\partial t} = -k A \frac{\Delta h}{\Delta l}$$

$$h(t) = h(0) \exp\left(-k \frac{A t}{A_2 \Delta l}\right)$$

$$k = \frac{A_2 \Delta l}{A t} \ln\left(\frac{h_0}{h}\right)$$

Soil type	$k$ [m <sup>3</sup> /s/m <sup>2</sup> ]
clay	10 <sup>-10</sup> -10 <sup>-8</sup>
silt	10 <sup>-8</sup> -10 <sup>-6</sup>
sand	10 <sup>-6</sup> -10 <sup>-3</sup>
gravel	10 <sup>-3</sup> -10 <sup>-1</sup>

# Groundwater flow

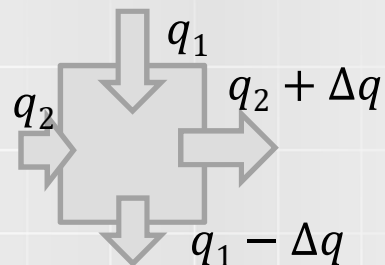
Darcy's Law

$$q_1 = -k \frac{\partial h}{\partial x_1}$$

$$q_2 = -k \frac{\partial h}{\partial x_2}$$

Principle of mass conservation

$$\frac{\partial q_1}{\partial x_1} + \frac{\partial q_2}{\partial x_2} = 0$$



Laplace equation (isotropic case)

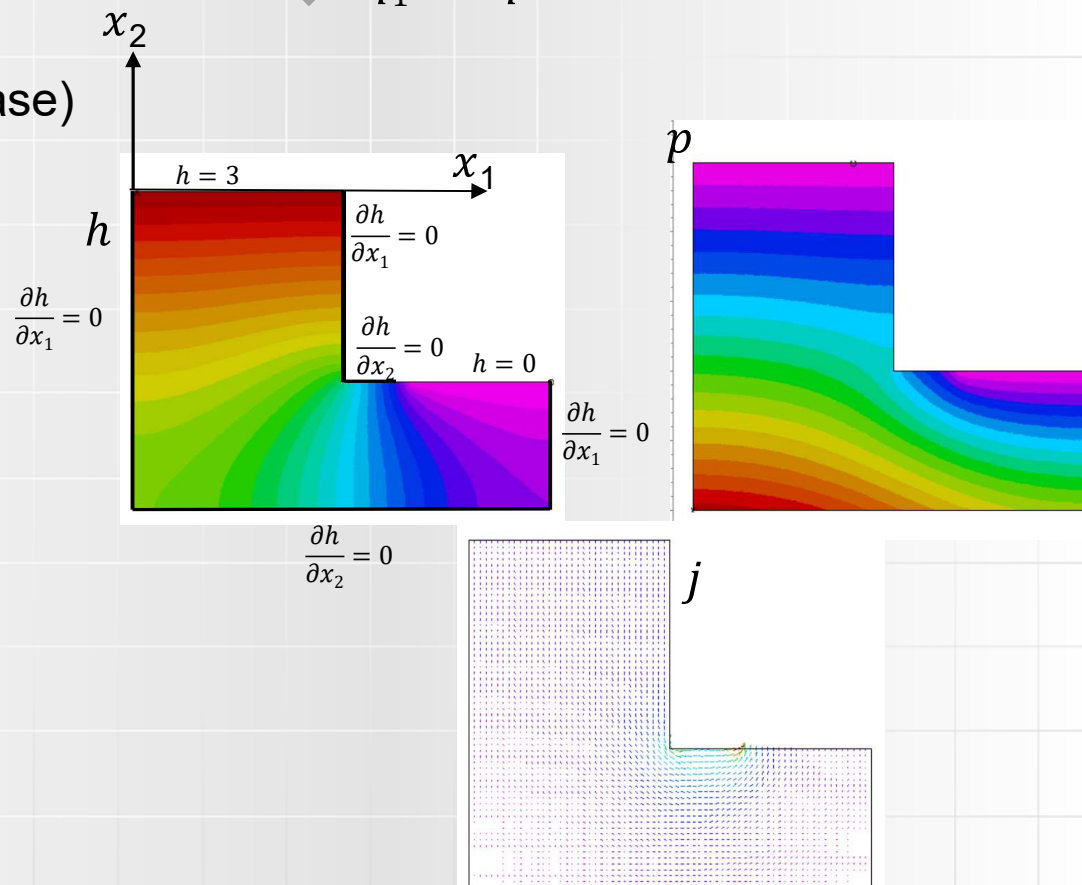
$$-k \left( \frac{\partial^2 h}{\partial x_1^2} + \frac{\partial^2 h}{\partial x_2^2} \right) = 0$$

Upward flow

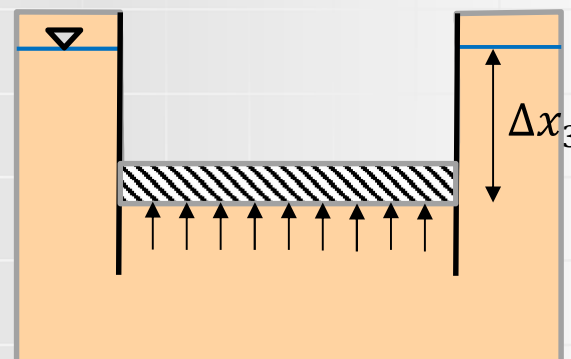
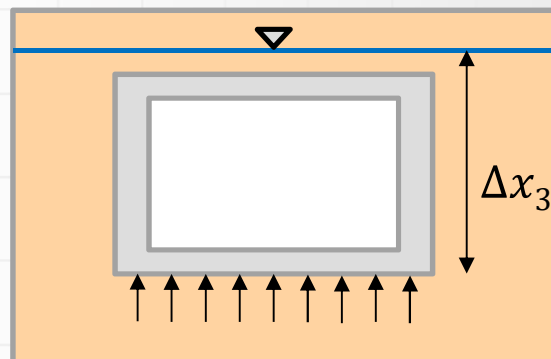
$$q = -k \frac{\partial h}{\partial s} = -k i \quad j = \gamma_w \frac{\partial h}{\partial s} = \gamma_w i$$

$$\sigma' = \gamma(-x_2) - \gamma_w(-x_2) - \gamma_w i(-x_2)$$

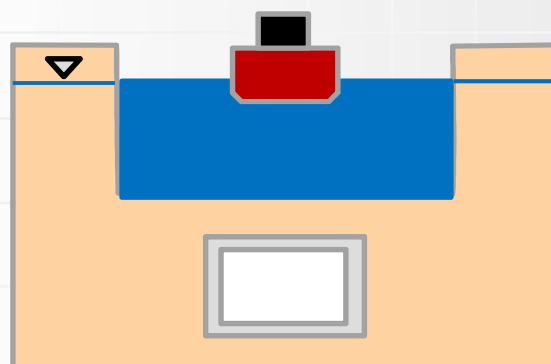
$$i_{cr} = \frac{\gamma - \gamma_w}{\gamma_w}$$



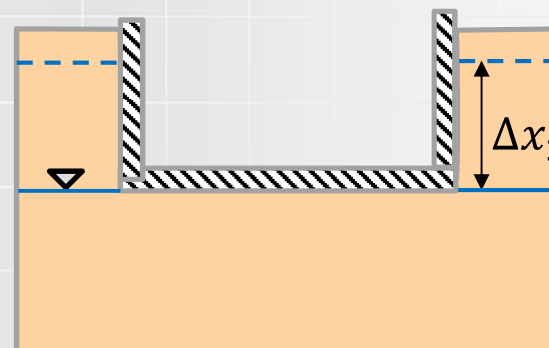
# Flotation. Uplift.



Designing the tunnel  
should we account for  
weight of the ship?



Except for the uplift  
are the load on slab  
any different?



# Flow net

## Darcy's Law

$$q_1 = -k \frac{\partial h}{\partial x_1}$$

$$q_2 = -k \frac{\partial h}{\partial x_2}$$

## Principle of mass conservation

$$\frac{\partial q_1}{\partial x_1} + \frac{\partial q_2}{\partial x_2} = 0$$

$$-k \left( \frac{\partial^2 h}{\partial x_1^2} + \frac{\partial^2 h}{\partial x_2^2} \right) = 0$$

## Potential function

$$\Phi = kh$$

$$q_1 = -\frac{\partial \Phi}{\partial x_1}$$

$$q_2 = -\frac{\partial \Phi}{\partial x_2}$$

$$\frac{\partial q_1}{\partial x_1} + \frac{\partial q_2}{\partial x_2} = 0$$

$$\frac{\partial^2 \Phi}{\partial x_1^2} + \frac{\partial^2 \Phi}{\partial x_2^2} = 0$$

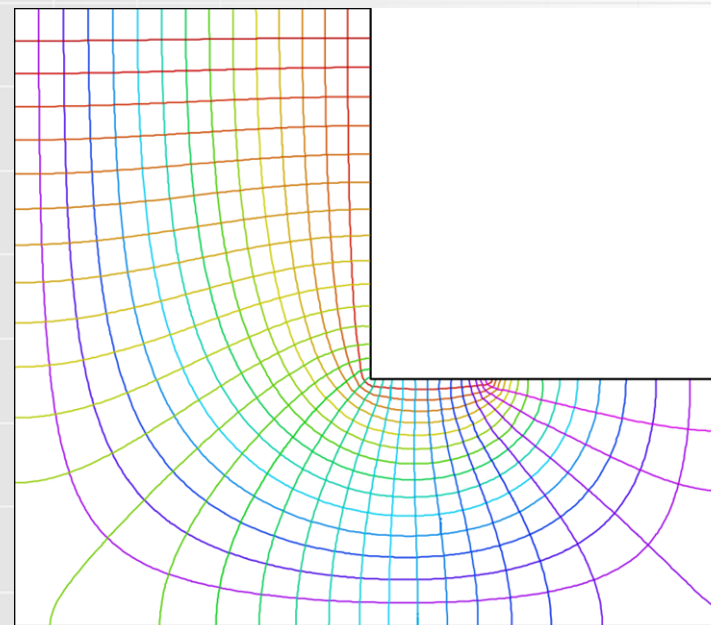
## Stream function

$$q_1 = -\frac{\partial \psi}{\partial x_2}$$

$$q_2 = \frac{\partial \psi}{\partial x_1}$$

$$\frac{\partial q_1}{\partial x_1} + \frac{\partial q_2}{\partial x_2} = \frac{\partial^2 \psi}{\partial x_1 \partial x_2} - \frac{\partial^2 \psi}{\partial x_2 \partial x_1} \equiv 0$$

$$\frac{\partial^2 \psi}{\partial x_1^2} + \frac{\partial^2 \psi}{\partial x_2^2} = 0$$



## Seepage force

$$j_1 = -\gamma_w \frac{\partial h}{\partial x_1} \quad j_2 = -\gamma_w \frac{\partial h}{\partial x_2}$$

# Richards. Van Genuchten.

## Darcy's Law

$$q_1 = -k \frac{\partial h}{\partial x_1} \quad \frac{\partial}{\partial x_1} \left( k \frac{\partial h}{\partial x_1} \right) + \frac{\partial}{\partial x_2} \left( k \frac{\partial h}{\partial x_2} \right) = 0$$

$$q_2 = -k \frac{\partial p}{\partial x_2} \quad h = x_2 + \frac{p}{\gamma_w}$$

## Richards equation (steady state flow)

$$\frac{\partial}{\partial x_1} \left( k(h) \frac{\partial h}{\partial x_1} \right) + \frac{\partial}{\partial x_2} \left( k(h) \frac{\partial h}{\partial x_2} \right) + \frac{\partial k(h)}{\partial x_2} = 0 \quad h = \frac{p}{\gamma_w}$$

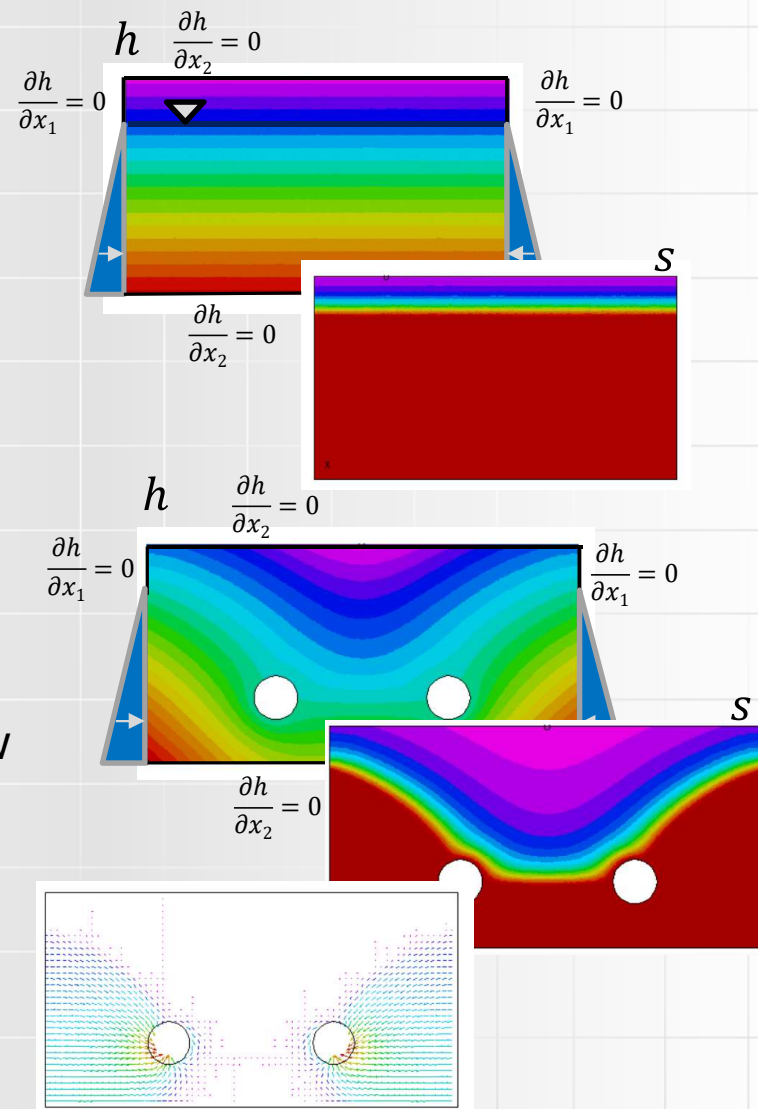
## Van Genuchten model

$$s(h) = H(h) \left\{ s_r + \frac{s_s - s_r}{[1 + (\alpha|h|)^m]^{(1-\frac{1}{m})}} \right\}$$

$$k(h) = ks \left( \frac{s(h) - s_r}{1 - s_r} \right)^3 \quad s_r \approx 0, s_s \approx 1$$

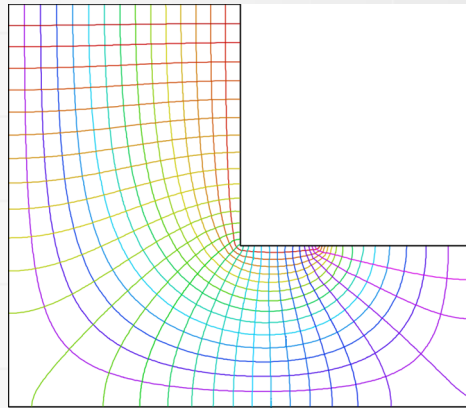
## Richards equation for time dependent flow

$$n \frac{\partial s}{\partial t} + \frac{\partial}{\partial x_1} \left( k(h) \frac{\partial h}{\partial x_1} \right) + \frac{\partial}{\partial x_2} \left( k(h) \frac{\partial h}{\partial x_2} \right) + \frac{\partial k(h)}{\partial x_2} = 0$$





# Questions



- Flow under a thin wall
- Flow under a 2-dimensional (thick) object
- Flotation of the tunnel
- Uplift
- Solving some numerical problems of steady state flow

# Bibliography

Verruijt, A., & Van Baars, S. (2007). *Soil mechanics* (pp. 19-25). Delft, the Netherlands: VSSD.

Truty, A., & Podleś, K. (2009). *Z\_Soil. PC Manual*.

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<https://www.google.com/search?client=firefox-b&q=rotation+matrix>

[https://en.wikipedia.org/wiki/Richards\\_equation](https://en.wikipedia.org/wiki/Richards_equation)

<http://www.tajnikigeotechniki.pl/>